Normal generation of line bundles on smooth curves

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Outline

1. Gonality, Clifford index and normal generation

- Gonality
- Clifford index
- Normal generation

2. Classification of normally generated line bundles

- An extremal line bundle with $h^1(\mathcal{L}) \geq 2$
- Nearly Extremal line bundles
- Multiple coverings of plane curves

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Geometric meaning of gonality of X

▶ gon(X) = k if and only if in the canonical embedding

- 1. any (k-1)-points are in general position
- 2. but there exists a k-secant (k-2)-plane.

- A projection from a point p ∈ X induces a morphism of degree d − 1 to P¹. (∴ gon(X) ≤ d − 1.)
- 2. Let D be an effective divisor with $deg(D) \le d 1$ and $h^0(D) = 2$.
- 3. By the geometric RR thm, $h^0(D) = 2 = \deg(D) \dim \langle D \rangle_{\mathcal{K}}$.

- 4. By the definition, $h^0(K) h^0(K(-D)) = \dim \langle D \rangle_K + 1$.
- 5. Note that $K_X = \mathcal{O}_X(d-3)$.

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- 6. We have the following exact sequence;

$$0 \quad \rightarrow \quad \mathcal{I}_{X/\mathbb{P}^2}(d-3) \quad \rightarrow \quad \mathcal{I}_{D/\mathbb{P}^2}(d-3) \quad \rightarrow \quad \mathcal{I}_{D/X}(d-3) \quad \rightarrow \quad 0$$

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- Any d 2 points in P² imposes independent conditions on curves of degree d - 3, i.e., for any divisor D of degree d - 2, H⁰(O_{P²}(d - 3)) → H⁰(O_D) is surjective.
- 8. Any d 1 points in P² fails to impose independent conditions on curves of degree d 3 if and only if they are collinear.
 9. gon(X) = d 1.

Gonality

Y: a plane curve of degree d with δ number of nodes and $\phi: X \to Y$: a normalization of Y.

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$$gon(X) = d - 2$$
 if $\delta \leq d - 3$.

1. $\mathcal{K}_X = \phi^* \mathcal{O}_Y(d-3)(-\Delta)$, $\Delta :=$ the set of nodes.

- any d 3 points in P² imposes independent conditions on curves of degree d 3 passing through Δ, i.e., for any divisor D of degree d 3, H⁰(O_{P^μ}(d - 3)) → H⁰(O_D) is surjective.
- 3. any d-2 points in \mathbb{P}^2 fails to impose independent conditions on curves of degree d-3 if and only if they with one node point are collinear.

•
$$g(X) = \frac{(d-1)(d-2)}{2} - \delta$$
 and $gon(X) = d - 2$.

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 and $gon(X) = d - 2$.

Brill-Noether Theorem

$$gon(X) \leq \left[\frac{g+3}{2}\right]$$
, and the equality holds if X is a general curve of genus g.

Clifford Index of X

• Clifford index of a line bundle \mathcal{L} ;

$$\begin{aligned} \text{Cliff}(\mathcal{L}) &= \deg \mathcal{L} - 2(h^0(\mathcal{L}) - 1) \\ &= g + 1 - (h^0(\mathcal{L}) + h^0(\mathcal{K}_X \mathcal{L}^{-1})). \end{aligned}$$

The smaller number is that L has more sections for its degree.

•
$$\operatorname{Cliff}(\mathcal{L}) = \operatorname{Cliff}(\mathcal{K}_X \mathcal{L}^{-1})$$

Clifford index of a curve X ;

$$\begin{split} \operatorname{Cliff}(X) &= \min\{\operatorname{Cliff}(\mathcal{L}) : h^0(\mathcal{L}) \geq 2, \ \operatorname{deg}(\mathcal{L}) \leq g-1\} \\ &= \min\{\operatorname{Cliff}(\mathcal{L}) : h^0(\mathcal{L}) \geq 2, \ h^1(\mathcal{L}) \geq 2\}. \end{split}$$

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 $\frac{\text{Clifford Theorem}}{\text{Cliff}(X) \ge 0 \text{ and}}$ the equality holds if and only if X is a hyperelliptic

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Coppens-Martens Theorem (1991)

Any reduced irr. non-deg. and linearly normal curve X of degree $d \ge 4r - 7$ in $\mathbb{P}^r(r \ge 2)$ has a (2r - 3)-secant (r - 2)-plane.

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Coppens-Martens Theorem $\operatorname{Cliff}(X) = \operatorname{Gon}(X) - 3$ or $\operatorname{Cliff}(X) = \operatorname{Gon}(X) - 2$ $\operatorname{Cliff}(X) = k - 2$ if and only if X is a general k-gonal curve

Clifford index of smooth plane curve (Namba, 1979)

- 1. Let $X \in \mathbb{P}^2$ be a smooth plane curve of degree d.
- 2. We know that gon(X) = d 1. $(Cliff(g_{d-1}^1) = d 3)$
- 3. $\operatorname{Cliff}(g_d^2) = d 4$.
- 4. Let g_{c+2r}^r be a complete linear system computing the Clifford index of X. Then $r \ge 2$ and $c \le (d-4)$.
- 5. Assume that $r \ge 3$ and $c \le (d-5)$. Then g_{c+2r}^r induces a birational morphism by the KKM theorem.

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- 5. Assume that $r \ge 3$ and $c \le (d-5)$. Then g_{c+2r}^r induces a birational morphism by the KKM theorem.
- 6. If $C' := \varphi_{g_{c+2r}^r}(X)$ is not contained in a hyperquadric of rank ≤ 4 , then by the exact sequence

$$0 \to \mathcal{I}_{C'}(2) \to \mathcal{O}_{\mathbb{P}'}(2) \to \mathcal{O}_{C'}(2) \to 0,$$

we have $h^0(2g_{c+2r}^r) \ge 4r-2$ and hence $c \le Cliff(2g_{c+2r}^r) \le 2c-4r+6 \Rightarrow c+2r \ge 6r-6$.

Clifford index of smooth plane curve (Namba, 1979)

- 7 By the theorem of Coppens-Martens, $\exists M$ with $\deg(M) = (2r 3)$ and $\langle M \rangle_{g_e^r} = (r 2)$.
- 8 Projection from M to \mathbb{P}^1 is induced by a linear system $g^1_{\leq (d-2)}$
- 9 It is a contradiction to the gonality of X.
- 10 If $C' := \varphi_{g_{c+2r}^r}(X)$ is contained in a hyperquadric of rank \leq 4, then $g_{c+2r}^r = g_e^1 + h_{e'}^1$ and g = 2c + 5

11 It is a contradiction since g > 2c + 5.

Gonality and Clifford index

Coppens-Kato Theorem (1990)

If Y : a plane curve with $d \ge 2l + 4(l \ge 3)$ and $\delta < (l-1)d$ and $\phi : X \to Y$: a normalization of Y, then $g_{2d-9}^1 = g_e^1 + D_{2d-9-e}$, g_e^1 : base point free linear system on X cut out by a pencil of lines in \mathbb{P}^2 .

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Corollary

If $\phi : X \to Y$: as above, then $\operatorname{Cliff}(X) = d - 4$.

Proof.

Assume that $\operatorname{Cliff}(X) \leq d-5$ and \mathcal{L} computes the Clifford index. If $h^0(\mathcal{L}) \leq 3$, then $\deg \mathcal{L} \leq (d-5) + 2(h^0(\mathcal{L}) - 1) \leq 2d - 9$. Contradiction to Coppens-Kato Theorem. If $h^0(\mathcal{L}) \geq 4$, then by the secant theorem of Coppens and Martens, we get a contradiction.

Normal generation

- ▶ \mathcal{L} is normally generated if \mathcal{L} is very ample and $\operatorname{Sym}^{n} H^{0}(X, \mathcal{L}) \rightarrow H^{0}(X, \mathcal{L}^{\otimes n})$ is surjective for all $n \geq 0$.
- Noether Theorem

The canonical bundle is normally generated unless X is a hyperelliptic.

Normal generation

- *L* is normally generated if *L* is very ample and
 Symⁿ H⁰(X, L) → H⁰(X, L^{⊗n}) is surjective for all n ≥ 0.
- Noether Theorem The canonical bundle is normally generated unless X is a hyperelliptic.
- Castelnuovo, Mattuck, Mumford and Fujita proved any line bundle of degree at least 2g + 1 is normally generated.
- Lange and Martens showed every vey ample line bundle of degree 2g is normally generated unless X is a hyperelliptic.
- ► Arbarello, Cornalba, Griffiths and Harris stated A general line bundle of degree [³/₂g + 2] or greater defines a projectively normal embedding if X is a sufficiently general curve of genus g.

Normal generation: extremal line bundle

Green-Lazarsfeld Theorem

For any smooth curve X of genus g with a very ample line bundle \mathcal{L} , if deg $(\mathcal{L}) \geq 2g + 1 - 2 \cdot h^1(\mathcal{L}) - \text{Cliff}(X)$, then \mathcal{L} is normally generated.

Note that the condition

 $\deg(\mathcal{L}) \geq 2g + 1 - 2 \cdot h^1(\mathcal{L}) - \operatorname{Cliff}(X)$ is equivalent to the assumption that $\operatorname{Cliff}(\mathcal{L}) < \operatorname{Cliff}(X)$.

► A very ample line bundle L is extremal if Cliff(L) = Cliff(X) and L fails to be normally generated.

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Question;

- Find an extremal line bundle.
- Classify the normally generated line bundles \mathcal{L} with $\operatorname{Cliff}(\mathcal{L}) = \operatorname{Cliff}(X) + \alpha$ for small α .

Theorem (Green-Lazarsfeld (1986)) Let $N(c) = \max\{\frac{(c+2)(c+3)}{2}, 10c+6\}$, g > N(c), where c = Cliff(X). X is neither hyperelliptic nor bielliptic. \mathcal{L} is an extremal line bundle if and only if (X, \mathcal{L}) is one of;

	Х	$h^1(\mathcal{L})$	$\phi_{\mathcal{L}}$
I.	Has a g_{c+2}^1	0	Embeds X with a 4-secant
			line
	$c = 2f \ge 4$		
	X is a double covering	1	Embeds X with a 4-secant
II.	$\phi: {\it X} ightarrow {\it Y} \subseteq \mathbb{P}^2$		line
	of a smooth plane curve		
	Y of degree f+2		
III.	as in II	0	Embeds X with a 6-secant
			conic but no 4-secant line

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Note that for a general curve X of genus g, g = 2c + 1 or g = 2c + 2.

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Theorem (GL Theorem 3)

- X : a smooth curve of genus g
- *L* : a very ample line bundle on X with

$$deg\mathcal{L} > \begin{cases} \frac{3g-3}{2}, & \mathcal{L} \text{ is special} \\ \frac{3g-3}{2} + 2, & \mathcal{L} \text{ is nonspecial.} \end{cases}$$
(1)

If \mathcal{L} fails to be normally generated, then there is an effective divisor **R** such that $\varphi_{\mathcal{L}}(R)$ fails to impose independent conditions on quadrics and $\mathcal{A} \simeq \mathcal{L}(-\mathbf{R})$ satisfies

- $degA \geq \frac{g-1}{2}$,
- $\operatorname{Cliff}(\mathcal{A}) \leq \operatorname{Cliff}(\mathcal{L}),$

•
$$h^1(\mathcal{A}) \geq h^1(\mathcal{L}) + 2$$
 and $h^0(\mathcal{A}) \geq 2$.

Castelnuovo's genus boundLet g_d^r : birationally very ample with $d - 1 = m(r - 1) + \epsilon$, $0 \le \epsilon \le r - 2$ $g \le \pi(d, r) := \frac{m(m-1)}{2}(r-1) + m\epsilon$.

If
$$r = 2$$
, then $\pi(d, 2) = \frac{(d-1)(d-2)}{2}$.
If $r = 3$ and d is even, then $d - 1 = 2m + 1$, whence $g \le (\frac{d-2}{2})^2$.
If $r = 3$ and d is odd, then $d - 1 = 2m$, whence $g \le (\frac{d-1}{2})(\frac{d-3}{2})$.

 $\begin{array}{l} \hline \text{Castelnuovo's genus bound} \\ \hline \text{Let } g_d^r \text{: birationally very ample with } d-1 = m(r-1) + \epsilon, \\ 0 \leq \epsilon \leq r-2 \\ g \leq \pi(d,r) \text{:= } \frac{m(m-1)}{2}(r-1) + m\epsilon. \end{array}$

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If $r = 3$ and d is odd, then $d - 1 = 2m$, whence $g \le (\frac{d-1}{2})(\frac{d-3}{2})$.

Kim-Kim Theorem (2004)

 $\pi(d, r) \le \pi(d - 2, r - 1)$ for $d \ge 3r - 2, r \ge 3$

If
$$d \ge 7$$
, then $(\frac{d-1}{2})(\frac{d-3}{2}) = \pi(d,3) \le \pi(d-2,2) = \frac{(d-3)(d-4)}{2}$

KKM Theorem (1990)

Let g_{c+2r}^r : compute the Clifford index c of X, $d \le g - 1, r \ge 3$. Then the g_{c+2r}^r is birationally very ample unless X is hyperelliptic or biellpitic.

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Let g_{c+2r}^r : compute the Clifford index c of X, $d \le g - 1, r \ge 3$. Then the g_{c+2r}^r is birationally very ample unless X is hyperelliptic or biellpitic.

- So, if g^r_d: compute the Clifford index c of X with proper range of d, r ≥ 3, then the g^r_d is birationally very ample.
- But the genus is big compared to d, r, g^r_d is not birational by the Castelnuovo's genus bound.
- ► Therefore g^r_d gives a multiple covering of the plane curves or P¹.

Note for $g > \frac{(c+2)(c+3)}{2}$

A smooth plane curve X of degree $d \ge 4$

- ▶ $g = \frac{(d-1)(d-2)}{2}$, c := Cliff(X) = d 4. Therefore, $g = \frac{(c+2)(c+3)}{2}$.
- $D = H Z_4$, where Z_4 is 4 collinear points and H is a line section of X.
- ► $K_X D$ is very ample since $h^0(D + p + q) = 1$ for any $p, q \in X$.
- ► $h^0(\mathcal{K}_X D) = (2g 2) (d 4) g + 1 + 1$. Therefore Cliff $(\mathcal{K}_X - D) = d - 4$.
- ► $h^0(\mathcal{K}_X D) h^0(\mathcal{K}_X(-D Z_4)) = 2 = < D >_{\mathcal{K}_X D} + 1.$
- $\mathcal{K}_X D$ is an extremal line bundle with $h^1(\mathcal{K}_X D) = 1$.

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1. An extremal line bundle with $h^1(\mathcal{L}) \geq 2$

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An extremal line bundle with $h^1(\mathcal{L}) \geq 2$

Theorem(CKK, 2007)

Assume that

- X is neither hyperelliptic nor bielliptic with $g \ge 2c + 5$, where g is the genus of X and c is the Clifford index of X.
- A very ample line bundle *M* computes the Clifford index of X with (3c/2) + 3 < deg*M* ≤ g − 1,

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then

- ▶ g = 2c + 5 and $\mathcal{M} = \mathcal{F} \otimes \mathcal{F}'$, where $|\mathcal{F}|, |\mathcal{F}'|$ are pencils of degree c + 2,
- $\mathcal{M} \otimes \mathcal{F}$ is an **extremal** line bundle with $h^0(\mathcal{M} \otimes \mathcal{F}) \ge 2$, and $h^1(\mathcal{M} \otimes \mathcal{F}) = 2$.
- ➤ M is half-canonical unless X is a (c + 2)/2-fold covering of an elliptic curve.

Theorem (CKK)

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- S ⊂ P^r be a general K3 surface with Pic(S) =< H > where H is a hyperplane section and degS = 2r - 2 and
- $X \subset S$ be a smooth irreducible curve and $X \in |2H|$,

then

▷ O_X(1) is half-canonical, normally generated, and computes the Clifford index of X,

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• while there is a base point free pencil $|\mathcal{F}|$ such that $\mathcal{O}_X(1) \otimes \mathcal{F}$ is an extremal line bundle with $h^1(\mathcal{O}_X(1) \otimes \mathcal{F}) = 2$.

Proof

- 1. Since $X \in |2H|$, $\mathcal{O}_X(2)$ is the canonical bundle of X with $g(X) = (2H)^2/2 + 1 = 4r 3$, $\deg(X) = (2H)(H) = 4(r 1)$. (Note that $\deg(S) = 2r - 2$.)
- According to Green's and Lazarsfeld's method of computing the Clifford index of smooth curves on a K3 surface, O_X(1) computes the Clifford index of X. ∴ Cliff(X) = 2r - 4.

3.
$$g(X) \ge 2c + 5$$
 and $\deg \mathcal{O}_X(1) \le g(X) - 1$.

- 4. The curve X lies on a hyperquadric of rank \leq 4.
- 5. The pencil $|\mathcal{F}|$ is induced by the ruling of the hyperquadric.
- One can prove that O_X(1) ⊗ F is an extremal line bundle on X with h¹(L) = 2.

Proof

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- 4. The curve X lies on a hyperquadric of rank \leq 4.
- 5. The pencil $|\mathcal{F}|$ is induced by the ruling of the hyperquadric.
- 6. One can prove that $\mathcal{O}_X(1) \otimes \mathcal{F}$ is an extremal line bundle on X with $h^1(\mathcal{L}) = 2$.

Hence for any $g \equiv 1 \pmod{4}$, there is a smooth curve X of genus g such that X has a extremal line bundle and a non-extremal line bundle which compute the clifford index of X.

2. Nearly extremal line bundles

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Nearly extremal line bundles(Akohori(2005), CKK(in progress)) Assume that $g \ge \max\{\frac{(c+4)(c+3)}{2}, 2c+13\}$, $c := \text{Cliff}(X), f := \frac{c}{2}$ and that X is neither hyperelliptic nor bielliptic. Let \mathcal{L} is an line bundle on X with deg(\mathcal{L}) = $2g - 1 - 2h^1(\mathcal{L}) - c$, i.e. $\text{Cliff}(\mathcal{L}) = \text{Cliff}(X) + 1$. Then \mathcal{L} is very ample and fails to be normally generated if and only if the pair (X, \mathcal{L}) is the following cases:

(<i>i</i>)	$\phi: C \xrightarrow{m:1} \mathbb{P}^1, \ c+2 \leq m \leq c+3,$
	$\mathcal{L}\simeq \mathcal{K}-g_m^1-B_{c+3-m}+R_4,\ R_4\in \mathcal{C}_4,\ h^1(\mathcal{L})=0$
(ii)	$\phi: C \xrightarrow{2:1} C' \subset \mathbb{P}^2$, deg $(C') = f + 2$, $c = 2f \ge 4$
	$\mathcal{L}\simeq\mathcal{K}-\phi^{*}g_{f+2}^{2}+ extsf{R}_{5}, extsf{R}_{5}\in extsf{C}_{5}, extsf{h}^{1}(\mathcal{L})=0$
(iii)	$\phi: \mathcal{C} \xrightarrow{3:1} \mathcal{C}' \subset \mathbb{P}^2$, $\deg(\mathcal{C}') = rac{5+c}{3} =: h \geq 3$,
	$\mathcal{L}\simeq\mathcal{K}-\phi^*g_h^2+R_6,\ R_6\in C_6,\ h^1(\mathcal{L})=0$
(iv)	$\phi: C \xrightarrow{3:1} C' \subset \mathbb{P}^2$, $\deg(C') = rac{5+c}{3} =: h \ge 4$,
	$\mathcal{L}\simeq\mathcal{K}-\phi^* g_h^2+ extsf{R}_4, \ extsf{R}_4\in extsf{C}_4, \ extsf{h}^1(\mathcal{L})=1$
(v)	$\phi: \mathcal{C} \xrightarrow{\simeq} \mathcal{C}' \subset \mathbb{P}^2, \ \mathcal{L} \simeq \mathcal{K} - g_{c+5}^2 + R_4, \ R_4 \in \mathcal{C}_4, \ h^1(\mathcal{L}) = 1$
(vi)	$\phi: \mathcal{C} \xrightarrow{\simeq} \mathcal{C}' \subset \mathbb{P}^2, \ \mathcal{L} \simeq \mathcal{K} - g_{c+5}^2 + R_6, \ R_6 \in \mathcal{C}_6, \ h^1(\mathcal{L}) = 0$

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Proof

- If *L* is extremal, then by the GL Theorem 3, there exists a line bundle *A* with Cliff(*A*) = Cliff(*X*) + 1 (or Cliff(*A*) = Cliff(*X*)) and h⁰(*A*) ≥ 2 and h¹(*A*) ≥ 2.
- ► The following Ballico-Keem theorem tells that a special linear series |K_XA⁻¹| = g^r_{2r+c+1}, r ≥ 3 is birationally very ample.
- The condition g > max{(c+4)(c+3)/2, 2c + 13} gives that any morphism to P^r, r ≥ 3 can not be birationally very ample by the Castelnuovo genus bound.
- ► So $|\mathcal{K}_X \mathcal{A}^{-1}|$ induces a covering morphism to a plane curve or $|\mathcal{K}_X \mathcal{A}^{-1}|$ is a pencil.

Proof

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Theorem (BK, 1991)

Let a $|D| = g_{2r+c+1}^r$, $r \ge 3$ be a special linear series without base point on curve X with Clifford index $c \ge 1$ such that $r(\mathcal{K}_X - D) \ge 1$. Then |D| is birationally very ample.

Extremal line bundles(CKK(in progress))

 $g > \max{\pi(c+4,2), 2c+11}$ where $c := \operatorname{Cliff}(X)$ and $f := \frac{c}{2}$ and that X is neither hyperelliptic nor elliptic-hyperelliptic. Let \mathcal{L} is an line bundle on X with deg $\mathcal{L} := 2g - 2h^1(\mathcal{L}) - c$. Then \mathcal{L} is very ample and fails to be normally generated if and only if the pair (X, \mathcal{L}) is the following cases:

	X	$h^1(\mathcal{L})$	$\mathcal L$	conditinos of $R_a \in X_a$
Ι	$\phi: X \xrightarrow{(c+2): 1} \mathbb{P}^1$	0	$\mathcal{K}_X - g_{c+2}^1 + R_4$	$\deg(F, R_4) \leq 1$,
	ϕ doesn't factor through		$\langle R_4 angle_{\mathcal{L}}$: 4-secant line	$\forall F \in g_{c+2}^1$
	$\psi: X \stackrel{2:1}{\rightarrow} Y \subset \mathbb{P}^2$			
	for a smooth Y			
	$\deg Y = f + 2$			
II.	$\phi: X \xrightarrow{2:1} Y \subset \mathbb{P}^2$	1	$\mathcal{K}_X - \phi^* g_f^2 + R_4$	$R_4 \leq \phi^*(H), H \in \mathcal{O}_Y(1) $
	for a smooth Y		$\langle R_4 angle_{\mathcal{L}}$: 4-secant line	$\operatorname{deg}(\phi^*(Q), R_4) \leq 1,$
	with $\deg Y = f + 2$			$\forall H \in \mathcal{O}_Y(1) , Q \leq H$
III.	As in II	0	$\mathcal{K}_X - \phi^* g_f^2 + R_6$	$deg(\phi^*(\mathit{Q}), \mathit{R_6}) \leq 1, \forall \mathit{Q} \in \mathit{Y}$
(1)			$\phi_{\mathcal{L}}(R_6) \subset \Omega$	$\deg(\phi^*(H), R_6) \leq 2$,
			for an irreducible	$\forall H \in \mathcal{O}_Y(1) $
			conic Ω̃ in the	$R_6 \leq \phi^*(\Omega, Y)$
			plane $\langle R_6 \rangle_{\mathcal{L}}$	$\Omega := (\phi \circ \phi_{\mathcal{L}}^{-1})(\tilde{\Omega}) \in \mathcal{O}_{\mathbb{P}^2}(2) $
III.	As in II	0	$\mathcal{K}_X - \phi^* g_f^2 + R_6$	$deg(\phi^*(Q), R_6) \leq 1, \forall Q \in Y$
(2)			$\phi_{\mathcal{L}}(R_6) \subset \tilde{L}_1 \cup \tilde{L}_2$	$(\phi \circ \phi_{\mathcal{L}}^{-1})(\tilde{L}_i) = L_i$: line
			as a scheme in the	$\deg(\bar{\phi}^*(H_i),R_6)=3$
			plane $\langle R_6 \rangle_{C}$	$\forall H_i := L_i \cdot Y, i = 1, 2$

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3. Multiple coverings of plane curves with small number of double points

In this talk, I just deal with the multiple covering of smooth plane curves.

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Theorem(Multiple coverings of smooth plane curves)

X : a simple *n*-fold covering $\phi : X \to Y$ for a smooth plane curve Y of degree d with $g(X) > n(g(Y)) + n(n-1)d + 4n^2(n-1)$. \mathcal{L} : a line bundle, deg $\mathcal{L} \ge 2g - 2h^1(X, \mathcal{L}) - \text{Cliff}(X) - (n-2)$. Then, \mathcal{L} is very ample and fails to be normally generated if and only if \mathcal{L} corresponds to one of the cases in the following table.

Theorem(Multiple coverings of smooth plane curves)

X : a simple *n*-fold covering $\phi : X \to Y$ for a smooth plane curve Y of degree d with $\mathbf{g}(\mathbf{X}) > \mathbf{n}(\mathbf{g}(\mathbf{Y})) + \mathbf{n}(\mathbf{n} - 1)\mathbf{d} + 4\mathbf{n}^2(\mathbf{n} - 1)$. \mathcal{L} : a line bundle, deg $\mathcal{L} \ge 2g - 2h^1(X, \mathcal{L}) - \text{Cliff}(X) - (n - 2)$. Then, \mathcal{L} is very ample and fails to be normally generated if and only if \mathcal{L} corresponds to one of the cases in the following table.

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Remark

- If deg(Y) is large compared with n, the genus bound g > n(g(Y)) + n(n-1)d + 4n²(n-1) is not so restrictive by Riemann-Hurwitz theorem which tells that g ≥ n(g(Y)) - (n - 1).
- ► Theorem explores necessary and sufficient conditions for the failure of normal generation of a very ample line bundle *L* with Cliff(*L*) ≤ Cliff(*X*) + (n 2), i.e., deg*L* ≥ 2g 2h¹(*L*) Cliff(*X*) (n 2).

	description for ${\cal L}$	$h^1(X, \mathcal{L});$ Cliff(\mathcal{L})	conditions of R_a
Ι	$\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R_4, \ \dim \langle R_4 angle_{\mathcal{L}} = 1$	$1; \\ \operatorname{Cliff}(X) + (n-2)$	$\begin{array}{l} R_4 \leq \phi^* H \text{ for some } H \in g_d^2; \\ \deg(R_4, \Phi^{-1}(Q)) \leq 1 \\ \text{ for any } Q \in \psi(Y) \subset \mathbb{P}^2 \end{array}$
II	$\begin{split} \mathcal{L} \simeq \mathcal{K}_X & - (\phi^* g_{d-1}^1 + B) + R_4, \\ \dim \langle R_4 \rangle_{\mathcal{L}} = 1; \\ B \text{ is a base locus} \\ \text{of } \mathcal{K}_X \otimes \mathcal{L}^{-1}(R_4) \end{split}$	0; Cliff(X) + k, $0 \le k \le n - 2,$ $k := \deg(B)$	$ \begin{array}{l} g_{d-1}^1 = g_d^2(-Q) \text{ for some } Q \in Y; \\ \deg(R_4, \phi^*(H-Q)) \leq 1 \\ \text{ for any } H \in g_d^2 \text{ with } H \geq Q; \\ R_4 \notin \phi^*(H)) \text{ if } B \leq \phi^*(Q) \\ \text{ and } \deg(\phi^*(Q) - B) \leq 2; \\ \deg B \leq n-2, \deg(B, R_4) = 0 \end{array} $
III	$ \begin{split} \mathcal{L} &\simeq \mathcal{K}_X - \phi^* g_d^2 + R_6, \\ R_6 &\leq \varphi_{\mathcal{L}}(X) \cap \tilde{\Omega} \\ \text{for an irreducible conic } \tilde{\Omega} \\ \text{in the plane } \langle R_6 \rangle_{\mathcal{L}}; \\ \varphi_{\mathcal{L}}(X) \text{ has no trisecant line} \end{split} $	0; Cliff(X) + ($n - 2$)	$\begin{array}{l} \deg(R_6, \phi^{-1}(Q)) \leq 1 \text{ for any } Q \in Y \\ \deg(R_6, \phi^*(H)) \leq 2 \text{ for any } H \in g_d^2; \\ R_6 \leq \phi^*(\Omega) \text{ for some } \Omega \in 2g_d^2 \text{ with} \\ \Omega \neq H_1 + H_2 \text{ for any } H_i \in g_d^2 \end{array}$
IV	$\mathcal{L} \simeq \mathcal{K}_{\chi} - \phi^* \mathcal{g}_d^2 + R_6$ $R_6 = R_3^{(1)} + R_3^{(2)},$ $R_3^{(i)} := (R_6, \varphi_{\mathcal{L}}(\chi) \cap L_i)$ $L_i : a line in the plane \langle R_6 \rangle_C$	0; Cliff(X) + $(n - 2)$	$\begin{array}{l} \deg(R_6, \phi^{-1}(Q)) \leq 1 \text{ for any } Q \in Y\\ R_6 = R_3^{(1)} + R_3^{(2)} \text{ with}\\ R_3^{(i)} \leq \phi^*(H_i) \text{ for some } H_i \in g_d^2 \end{array}$

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	description for ${\cal L}$	$h^{1}(X, \mathcal{L});$ Cliff(\mathcal{L})	conditions of R_a
Ι	$\begin{split} \mathcal{L} \simeq \mathcal{K}_{X} - \phi^{*} g_{d}^{2} + R_{4}, \\ \dim \left< R_{4} \right>_{\mathcal{L}} = 1 \end{split}$	1; Cliff(X) + (n - 2)	$R_4 \leq \phi^* H \text{ for some } H \in g_d^2;$ $\deg(R_4, \Phi^{-1}(Q)) \leq 1$ $\text{for any } Q \in \psi(Y) \subset \mathbb{P}^2$
II	$\begin{split} \mathcal{L} \simeq \mathcal{K}_X - (\phi^* g_{d-1}^1 + B) + R_4, \\ & \dim \langle R_4 \rangle_{\mathcal{L}} = 1; \\ B \text{ is a base locus} \\ & \text{of } \mathcal{K}_X \otimes \mathcal{L}^{-1}(R_4) \end{split}$	0; Cliff(X) + k, $0 \le k \le n - 2,$ $k := \deg(B)$	$ \begin{aligned} g_{d-1}^1 &= g_d^2(-Q) \text{ for some } Q \in Y; \\ & \deg(R_4, \phi^*(H-Q)) \leq 1 \\ & \text{ for any } H \in g_d^2 \text{ with } H \geq Q; \\ & R_4 \notin \phi^*(H)) \text{ if } B \leq \phi^*(Q) \\ & \text{ and } \deg(\phi^*(Q) - B) \leq 2; \\ & \deg B \leq n-2, \deg(B, R_4) = 0 \end{aligned} $
III	$ \begin{aligned} \mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R_6, \\ R_6 &\leq \varphi_{\mathcal{L}}(X) \cap \tilde{\Omega} \\ \text{for an irreducible conic } \tilde{\Omega} \\ \text{in the plane } \langle R_6 \rangle_{\mathcal{L}}; \\ \varphi_{\mathcal{L}}(X) \text{ has no trisecant line} \end{aligned} $	0; Cliff(X) + ($n - 2$)	$\begin{array}{l} \deg(R_6,\phi^{-1}(Q)) \leq 1 \text{ for any } Q \in Y;\\ \deg(R_6,\phi^*(H)) \leq 2 \text{ for any } H \in g_d^2;\\ R_6 \leq \phi^*(\Omega) \text{ for some } \Omega \in 2g_d^2 \text{ with }\\ \Omega \neq H_1 + H_2 \text{ for any } H_i \in g_d^2 \end{array}$
IV	$ \begin{split} \mathcal{L} &\simeq \mathcal{K}_X - \phi^* g_d^2 + R_6 \\ R_6 &= R_3^{(1)} + R_3^{(2)}, \\ R_3^{(i)} &:= (R_6, \varphi_{\mathcal{L}}(X) \cap L_i) \\ L_i : \text{a line in the plane } \langle R_6 \rangle_{\mathcal{L}} \end{split} $	0; Cliff(X) + $(n - 2)$	$\begin{array}{l} \deg(R_6, \phi^{-1}(Q)) \leq 1 \text{ for any } Q \in Y; \\ R_6 = R_3^{(1)} + R_3^{(2)} \text{ with } \\ R_3^{(i)} \leq \phi^*(H_i) \text{ for some } H_i \in g_d^2 \end{array}$

Remark

This theorem gives not only concrete constructions but also the existence of large family of such nearly extremal line bundles \mathcal{L} , since \mathcal{L} can be constructed by choosing divisors R_a on X in the right boxes of the table.

<u>Lemma A</u>

Assume that $\phi: X \to Y$ satisfy the hypotheses in Theorem. Let \mathcal{M} be a globally generated line bundle on X with deg $\mathcal{M} \leq g - 1$ and $h^0(\mathcal{M}) \geq 2$. If $\operatorname{Cliff}(\mathcal{M}) \leq nd - 4$, then $\mathcal{M} \simeq \phi^*(g_d^2)$ or $\mathcal{M} \simeq \phi^*(g_d^2)(-Q)$ where $Q \in Y$. In particular, we obtain $\operatorname{Cliff}(X) = nd - n - 2$.

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Proof of the Theorem

Step 1. To apply Green-Lazarsfeld Theorem, check the condition (1) in (GL Theorem 3), i.e.,

$$\mathsf{deg}\mathcal{L} > \begin{cases} \frac{3g-3}{2}, & \mathcal{L} \text{ is special} \\ \frac{3g-3}{2}+2, & \mathcal{L} \text{ is nonspecial.} \end{cases}$$

Step 2. According to GL Theorem, there is a line bundle

$$\mathcal{A}\simeq\mathcal{L}(-R),\ R>0,$$

such that both ${\cal A}$ and R satisfy all the conditions in that theorem, i.e.,

▶ *R* fails to impose independent conditions on quadrics and

- deg $A \ge \frac{g-1}{2}$,
- $\operatorname{Cliff}(\mathcal{A}) \leq \operatorname{Cliff}(\mathcal{L}),$
- $h^1(\mathcal{A}) \geq h^1(\mathcal{L}) + 2$ and $h^0(\mathcal{A}) \geq 2$.

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Step 3. Prove that deg $A \ge g - 1$. Therefore deg $\mathcal{K}A^{-1} \le g - 1$. Step 4. Apply Lemma A, we get

$$\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R$$
 or $\mathcal{L} \simeq \mathcal{K}_X - (\phi^* g_d^2(-(Q)) + B) + R_A$

for some effective divisor R on X which fails to impose independent conditions on quadrics in $\mathbb{P}H^0(\mathcal{L})^*$.

Step 5. Assume $\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R$. Since $\operatorname{Cliff}(\mathcal{A}) \leq \operatorname{Cliff}(\mathcal{L})$, $\operatorname{Cliff}(\mathcal{L}) = nd - 4$. Hence $\deg R = 6 - 2h^1(\mathcal{L})$ by the RR Theorem. The condition $h^1(X, \mathcal{L}) \leq h^1(X, \mathcal{A}) - 2$ forces $h^1(\mathcal{L}) \leq 1$. $\therefore \mathcal{L}$ corresponds to one of the following cases; Case 1. $\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R_4$ with $h^1(\mathcal{L}) = 1$. Case 2. $\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R_6$ with $h^1(\mathcal{L}) = 0$.

Step 5. Assume $\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R$. Since $\operatorname{Cliff}(\mathcal{A}) \leq \operatorname{Cliff}(\mathcal{L})$, $\operatorname{Cliff}(\mathcal{L}) = nd - 4$. Hence $\deg R = 6 - 2h^1(\mathcal{L})$ by the RR Theorem. The condition $h^1(X, \mathcal{L}) \leq h^1(X, \mathcal{A}) - 2$ forces $h^1(\mathcal{L}) \leq 1$. $\therefore \mathcal{L}$ corresponds to one of the following cases; **Case 1.** $\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R_4$ with $h^1(\mathcal{L}) = 1$. **Case 2.** $\mathcal{L} \simeq \mathcal{K}_X - \phi^* g_d^2 + R_6$ with $h^1(\mathcal{L}) = 0$. Step 6. Assume $\mathcal{L} \simeq \mathcal{K}_X - (\phi^* g_d^2(-Q) + B) + R_a, R_a \in X^{(a)}$. Since $h^0(\mathcal{K}_X \otimes \mathcal{A}^{-1}) = h^1(\mathcal{A}) = 2$, we have $h^1(\mathcal{L}) = 0$. Note that dim $\langle R_a \rangle_{\mathcal{L}} = a - 3$ due to RR Theorem. Since $\operatorname{Cliff}(\mathcal{A}) < \operatorname{Cliff}(\mathcal{L})$ by GL Theorem 3, we have a > 2(a - 3) + 2, i.e., a < 4. If a < 4, then $\mathcal{L} \simeq \mathcal{K}_X - (\phi^* g_d^2 (-Q) + B - P) + (R_a - P)$ for $P \leq R_a$ which is not very ample. Thus we get a = 4 and $deg(B, R_4) = 0$. Therefore \mathcal{L} is

Case 3. $\mathcal{L} \simeq \mathcal{K}_X - (\phi^* g_d^2(-Q) + B) + R_4$ with $h^1(\mathcal{L}) = 0, Q \in Y$.

Thank you!!!

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